



UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF LOUISIANA  
SEC v. BP P.L.C.

CIVIL ACTION NUMBER: 2:12-cv-2774

**BP FAIR FUND for ORDINARY SHAREHOLDERS  
CLAIM FORM**

**This Claim Form must be  
POSTMARKED on or  
BEFORE 02/20/2024**

Claim Forms may be submitted online by visiting the BP p.l.c. Fair Fund ("BP Fair Fund") website: [bpfairfund.com](http://bpfairfund.com). If you wish to complete and mail a paper Claim Form, please review the mailing/shipping instructions in the Reminder Checklist below. If you need assistance in completing the Claim Form, or if you have any questions, please contact our customer service department at (866) 344-7868 or visit the BP Fair Fund website.

**INFORMATION ABOUT THE CLAIMANT**

PLEASE TYPE OR PRINT USING BLUE OR BLACK INK.

**1. Beneficial Owner Information:**

Name of Beneficial Owner: \_\_\_\_\_  
*First, Middle, Last or Entity Name (if the Beneficial Owner is a corporation, trust, estate, etc.)*

Name of Joint Beneficial Owner, if any: \_\_\_\_\_  
*First, Middle, Last*

If shares held in an IRA, name of **CURRENT** custodian: \_\_\_\_\_ IRA Account # \_\_\_\_\_

**2. Mailing / Contact Information:**

Attention: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Foreign Province: \_\_\_\_\_ Foreign Postal Code: \_\_\_\_\_

Country: \_\_\_\_\_

Daytime Phone Number: \_\_\_\_\_ Evening Phone Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_ Joint/Alt. Email: \_\_\_\_\_

**3. Enter Personal ID Number(s) and check ID type in the appropriate boxes below.**

Owner ID#\* : \_\_\_\_\_ Joint ID#\* : \_\_\_\_\_ Country: \_\_\_\_\_

ID Type\*\* : ☐ Driver's License ☐ National Ins. Card (UK) ☐ Passport  
☐ SSN/TIN ☐ National ID Card ☐ Other: \_\_\_\_\_

\*Owner ID# means Beneficial Owner ID Number and Joint ID# means Joint Beneficial Owner Number

\*\*Individual owners should provide a SSN. Entity owners, such as corporations, should provide a TIN.

**Claimant Account Type** (check appropriate box below):

Account Type: ☐ Individual / IRA ☐ Partnership ☐ Estate ☐ Trust ☐ LLC  
☐ Joint Owners ☐ Corporation ☐ UGMA ☐ Other: \_\_\_\_\_

If you have INVESTMENTS through more than one ACCOUNT TYPE, you must Complete a  
**SEPARATE CLAIM FORM for each ACCOUNT TYPE.**

**TRANSACTION INFORMATION – Where did you purchase BP Ordinary Shares?**

- ☐ LONDON STOCK EXCHANGE (complete the section labeled **LSE** directly below)
- ☐ FRANKFURT BÖRSE or OTHER STOCK EXCHANGE (complete the section labeled **FWB** on page 3)
- ☐ BOTH (complete both sections **LSE** and **FWB**)

*You must submit documentation to support each transaction listed.*

**Failure to provide proper DOCUMENTATION may result in the REJECTION OF YOUR CLAIM**

**LSE: BP Ordinary Shares Purchased on the LONDON STOCK EXCHANGE****4. HOLDINGS ON APRIL 26, 2010: \_\_\_\_\_**

Enter Total Number of Shares of BP Ordinary Shares (ISIN: **GB0007980591**) held as of the opening of trading on April 26, 2010. If none, write “0” or “Zero.”

**5. PURCHASES FROM APRIL 26, 2010, THROUGH AND INCLUDING MAY 26, 2010:**

List separately and in chronological order each purchase and/or acquisition of BP Ordinary Shares made between April 26, 2010, and May 26, 2010, both dates inclusive. Please note that transactions in BP Ordinary Shares that are pursuant to, or in connection with a swap, an option, or other derivative instrument are not eligible.

Date(s) of Purchase (Trade Date) MM/DD/YYYY	Transaction Type Purchase <u>or</u> Transfer (Received)	Number of Shares Purchased <u>or</u> Transferred (Received)	Total Purchase Price in GBP	Cover a Short Position? Y / N	Option Exercised or Assigned?*

**6. SALES FROM APRIL 26, 2010, THROUGH AND INCLUDING MAY 26, 2010:**

List separately and in chronological order each sale and/or delivered transfer of BP Ordinary Shares made between April 26, 2010, and May 26, 2010, both dates inclusive. Please note that transactions in BP Ordinary Shares that are pursuant to, or in connection with a swap, an option, or other derivative instrument are not eligible.

Date(s) of Sale (Trade Date) MM/DD/YYYY	Transaction Type Sale <u>or</u> Transfer (Delivered)	Number of Shares Sold <u>or</u> Transferred (Delivered)	Total Sale Price in GBP	Short Sale? Y / N	Option Exercised or Assigned?*

\* Options contracts to purchase or sell BP Ordinary Shares are not securities eligible for a recovery under the Plan. However, for purchases or sales of BP Ordinary Shares through the exercise of an option, the purchase/sale date is the exercise date of the call and the assignment date of the put, and the purchase/sale price is the strike price of the call at the time of exercise and the strike price of the put at the time of assignment.

**7. ENDING HOLDING ON MAY 26, 2010: \_\_\_\_\_**

Enter Total Number of BP Ordinary Shares held as of May 26, 2010. If none, write “0” or “Zero.”

## **FWB: BP Ordinary Shares Purchased on the FRANKFURT BÖRSE or OTHER STOCK EXCHANGE**

### **8. HOLDINGS ON APRIL 26, 2010: \_\_\_\_\_**

Enter Total Number of Shares of BP Ordinary Shares (ISIN: GB0007980591) held as of the opening of trading on April 26, 2010. If none, write "0" or "Zero."

### **9. PURCHASES FROM APRIL 26, 2010, THROUGH AND INCLUDING MAY 26, 2010:**

List separately and in chronological order each purchase and/or acquisition of BP Ordinary Shares made between April 26, 2010, and May 26, 2010, both dates inclusive. Please note that transactions in BP Ordinary Shares that are pursuant to, or in connection with a swap, an option, or other derivative instrument are not eligible.

Date(s) of Purchase (Trade Date) DD/MM/YYYY	Transaction Type Purchase <u>or</u> Transfer (Received)	Number of Shares Purchased <u>or</u> Transferred (Received)	Total Purchase Price in Euros	Cover a Short Position? Y / N	Option Exercised or Assigned? * Y / N

### **10. SALES FROM APRIL 26, 2010, THROUGH AND INCLUDING MAY 26, 2010:**

List separately and in chronological order each sale and/or delivered transfer of BP Ordinary Shares made between April 26, 2010, and May 26, 2010, both dates inclusive. Please note that transactions in BP Ordinary Shares that are pursuant to, or in connection with a swap, an option, or other derivative instrument are not eligible.

Date(s) of Sale (Trade Date) DD/MM/YYYY	Transaction Type Sale <u>or</u> Transfer (Delivered)	Number of Shares Sold <u>or</u> Transferred (Delivered)	Total Sale Price in Euros	Short Sale? Y / N	Option Exercised or Assigned? * Y / N

\* Options contracts to purchase or sell BP Ordinary Shares are not securities eligible for a recovery under the Plan. However, for purchases or sales of BP Ordinary Shares through the exercise of an option, the purchase/sale date is the exercise date of the call and the assignment date of the put, and the purchase/sale price is the strike price of the call at the time of exercise and the strike price of the put at the time of assignment.

### **11. ENDING HOLDING ON MAY 26, 2010: \_\_\_\_\_**

Enter Total Number of BP Ordinary Shares held as of May 26, 2010. If none, write "0" or "Zero."

*If you require additional space, please make copies of this schedule. Sign and print your name on each additional page.*

*You may also submit your claim form online by visiting our website: [bpfairfund.com](http://bpfairfund.com)*

## CERTIFICATION AND RELEASE

Each of the undersigned represents and certifies UNDER PENALTY OF PERJURY that such person or entity:

1. Has provided accurate records pertaining to **all** transactions in BP Ordinary Shares during the period from April 26, 2010, through May 26, 2010;
2. Is not and/or has not been:
  - a. A person who served from January 1, 2010, through May 26, 2010, as an officer or director of BP, or any subsidiary or affiliate of BP directly involved in the conduct detailed in the Complaint;
  - b. An employee or former employee of BP or any of its affiliates who has been terminated for cause, or has otherwise resigned, in connection with the conduct described in the Complaint;
  - c. A person who, as of the claims filing deadline, has been the subject of criminal charges related to the conduct detailed in the Complaint, unless and until such defendant is found not guilty of all such criminal charges, or such charges are dismissed, prior to the claims filing deadline, and proof of the finding(s) and/or dismissal(s) is included in such defendant's timely filed Claim Form;
  - d. The defendant in SEC v. Keith A. Seilhan, 2:14-cv-00893-CJB-SS (E.D. LA.);
  - e. An affiliate, assign, creditor, heir, distributee, spouse, parent, child, or controlled entity of any of the foregoing persons or entities described in (a) – (d) above;
  - f. The Distribution Agent and those persons assisting the Distribution Agent in its role as Distribution Agent; or
  - g. A purchaser or assignee of another person's right to obtain a recovery from the BP Fair Fund for value; provided, however, that this provision shall not be construed to exclude those persons who obtained such a right by gift, inheritance, devise, or operation of law.
3. Has not submitted any other claim covering the same transactions and holdings in BP Ordinary Shares and knows of no other person having done so on the Claimant's (Claimants') behalf;
4. Agrees to provide such additional information with respect to the Claim Form as the SEC or the Distribution Agent may require;
5. Consents to the SEC's, the Distribution Agent's, and their respective agents' use of any of the information provided here including, but not limited to, Social Security Number (or Taxpayer Identification Number), address, telephone number, brokerage account, and trading information in any way deemed appropriate to verify the Claim or the Claimant's identity; and acknowledges that this information will not be sold to any third parties or used for any purpose other than the processing of this Claim Form;
6. If executing this Claim Form on behalf of a beneficial owner of the BP Ordinary Shares (e.g., person, corporation, partnership, trust), possesses all requisite power and authority to execute this Claim Form, to grant the release provided below, and to do all other things necessary and appropriate in connection with the submission of this Claim Form on behalf of the beneficial owner with the same effect as if the beneficial owner were personally present and acting; and
7. Agrees that under no circumstances shall the Distribution Agent or its agents incur any liability to the undersigned or to any other person if it makes a distribution in accordance with the list of Eligible Claimants as approved by the Commission; and agrees that the undersigned is enjoined from taking any action in contravention of this provision.

*(continued on the next page)*

I (we) declare under penalty of perjury under the laws of the United States of America that the foregoing information supplied by the undersigned in this Claim Form is true, correct, and complete, and that all documents submitted in support of the foregoing information are true and correct copies of what they purport to be.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, 202\_\_\_\_\_, in \_\_\_\_\_, \_\_\_\_\_  
(Date) (Month) (City) (State / Country)

Signature of Claimant	(Type / Print name of Claimant)
Signature of Joint Claimant	(Type / Print name of Joint Claimant)
Signature of Person signing on behalf of Claimant	(Type / Print name of person signing on behalf of Claimant)
Capacity of person signing on behalf of Claimant (e.g., Administrator, Executor, Trustee, President, Custodian, POA, etc.)	

- ☐ For legal representatives of the beneficial owner(s), you must provide proof of your authority to act on the beneficial owner's behalf with this Claim Form. Please check this box to indicate that you have attached documentation authorizing you to complete this Claim Form on behalf of the beneficial owner named above.

*Failure to sign the **Certification and Release** may result in a delay in processing or the rejection of your claim.*

**How did you hear about the BP Fair Fund for Ordinary Shareholders?**

(check one appropriate box below):

- ☐ Notice Received in the Mail or Email
- ☐ My Bank, Broker, or Financial Institution
- ☐ Newspaper Ad
- ☐ Social Media
- ☐ Other: \_\_\_\_\_

## TAX CERTIFICATIONS

The interest component of your distribution payment is U.S. source income. To ensure that the BP Fair Fund can comply with its reporting and/or withholding obligations, please complete and provide the Distribution Agent with one (1) of the following forms, as applicable:

- IRS Form W-9; **OR**
- IRS Form W-8BEN, W-8BEN-E, or other W-8 series form

If you are a U.S. person, as that term is defined below, then you should complete the Substitute IRS Form W-9 below.

If you are ***not*** a U.S. person, then you should ***not*** complete the Substitute IRS Form W-9 below. Instead, you should complete IRS Form W-8BEN, W-8BEN-E, or other W-8 series form, which can be found by visiting the following IRS website: <https://www.irs.gov/forms-instructions>.

The term “U.S. person” means:

- A citizen or resident of the United States,
- A partnership created or organized in the United States, or under the law of the United States or of any State, or the District of Columbia,
- A corporation created or organized in the United States, or under the law of the United States or of any State, or the District of Columbia,
- Any estate or trust other than a foreign estate or foreign trust (see Internal Revenue Code § 7701(a)(31) for the definition of a foreign estate and a foreign trust), or
- Any other person that is not a foreign person.

If the BP Fair Fund does not receive a valid and complete Form W-9 or W-8 from you, the Fair Fund may be required under the Internal Revenue Code to make certain presumptions about you for purposes of tax reporting and, as applicable, withholding. The BP Fair Fund may be required to presume the interest is being paid to: (1) a payee subject to 30% withholding under the Foreign Account Tax Compliance Act (“FATCA”) and reporting on Form 1042-S; (2) a nonresident alien of the U.S. (“NRA”) subject to reporting and 30% NRA withholding and reporting on Form 1042-S; or (3) a U.S. person subject to 24% backup withholding and reporting on Form 1099-INT.

**Substitute Form W-9**  
**Taxpayer Identification Number Certification**

Social Security Number / Tax Identification Number: \_\_\_\_\_

Exempt Payee Code (if any) \_\_\_\_\_ Exemption from FATCA reporting code (if any) \_\_\_\_\_

Check appropriate box for federal tax classification:

☐ Individual ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate ☐ Other \_\_\_\_\_

☐ Limited Liability Company - choose tax classification: ☐ C Corporation ☐ S Corporation ☐ Partnership

Print your name as it appears on your federal income tax return:

\_\_\_\_\_  
First Name and Last Name for Individuals. Entity Name for businesses and trusts.

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number; **and**
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; **and**
3. I am a U.S. citizen or other U.S. person (including a U.S. resident alien); **and**
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Note:** If you have been notified by the IRS that you are subject to backup withholding, you must cross out item 2 above.

Signature

of U.S. Person: \_\_\_\_\_ Date: \_\_\_\_\_

## **CLAIM FORM INSTRUCTIONS**

### **Information About the Claimant (Nos. 1-4)**

Please make sure you complete the requested information in Nos. 1-4 in their entirety. The Claim Form must be completed by the beneficial owner of the BP Ordinary Shares (or his/her authorized legal representative) with respect to which the Claim Form is being filed. If there is more than one beneficial owner of the BP Ordinary Shares, all beneficial owners must be identified and all owners (or an authorized legal representative of such owners) must sign the Claim Form. Failure to provide any of the information requested may result in a delay in the processing of the claim or result in the rejection of the claim.

### **Transaction Information (Nos. 5-12)**

In order to accurately compute your claim, we must have the information requested in this section. Please review and complete the Sections in their entirety that pertain to your Purchases and Sales of BP Ordinary Shares, (4-7 for LSE, and 8-11 for FWB and other exchanges). Enter your relevant beginning and ending holdings of BP Ordinary Shares, as well as all of your purchases and sales of BP Ordinary Shares during the relevant period. If any of the transactions listed were short sales or cover-short purchases, please identify them for us.

### **Supporting Documentation**

Attach to your Claim Form documentation verifying the information provided in the Claim Form. Acceptable supporting documentation may include: (a) monthly account statements; (b) trade confirmation slips; (c) applicable year-end statement(s); (d) a signed letter from your broker on firm letterhead verifying the information you are providing; (e) a deposit or escrow receipt showing your holdings; or (f) other equivalent proof of your transactions.

Please be sure that the documentation confirms the details of your beginning and ending holdings (i.e., your full April 2010 brokerage statement showing all activity and holding position; and your full May 2010 brokerage statement showing all activity and holding position), and all purchases and/or sales of BP Ordinary Shares during the relevant period. Adequate identifying information (i.e., your name and account number) must appear on all documentation submitted; handwritten modifications to the documentation or self-generated schedules or statements are not acceptable. Failure to provide full documentation for all requested holdings and transactions may delay the processing of your claim.

### **Certification and Release (Pages 4-5)**

You must read the Certification and Release and sign and date the signature page of the Claim Form. Your signature serves as a certification that the information provided in the Claim Form and any documentation provided in support of the Claim Form is true, accurate, and complete.

- A legal representative of the beneficial owner(s) of the BP Ordinary Shares (e.g., executor, guardian, trustee, conservator, etc.) may sign the Certification and Release on behalf of the beneficial owner(s), as long as adequate proof of their authority to act on behalf of the beneficial owner of the BP Ordinary Shares is provided with the Claim Form.
- If the shares of BP Ordinary Shares were jointly owned, all beneficial owners (or an authorized legal representative of such owners) must sign the Certification and Release.
- If the BP Ordinary Shares were owned by an entity (e.g., trust, estate, corporation, partnership, etc.) the Certification and Release must be signed by an individual authorized by such entity to execute the Claim Form, grant the certification and release provided, and to do all other things necessary in connection with the submission of the Claim Form. Please provide documentary evidence of the signer's authority with the Claim Form.

### **Tax Certifications (Pages 6-7)**

To ensure that the BP Fair Fund can comply with its reporting and/or withholding obligations, please follow the instructions to provide the appropriate form.

**THIS CLAIM FORM MUST BE POSTMARKED ON OR BEFORE FEBRUARY 20, 2024.**



# REMINDER CHECKLIST

*Before mailing your Claim Form, please read these reminders.*

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- 1) Your Claim Form must be submitted online or with a postmark on or before February 20, 2024. We cannot accept Claim Forms via email or fax. If you are submitting a paper Claim Form by mail, please direct the Claim Form to: **BP Fair Fund, P.O. Box 6980, Syracuse, NY 13217-6980**. If you wish to send your Claim Form via FedEx, UPS, or a similar service, please direct the Claim Form to: **BP Fair Fund, c/o RCB Fund Services LLC, 17 Technology Place, Suite 1, East Syracuse, NY 13057**.

**If you require proof of delivery, please use a service that provides tracking and delivery confirmation or [submit your claim on our website](#).**

- 2) If you submit your Claim electronically on our website, you **do not** need to mail us a hard copy.
- 3) Remember to carefully review and sign the Certification and Release beginning on page 4. If this Claim Form is being submitted on behalf of joint owners, then both must sign on page 5.
- 4) Remember to supply the Beneficial Owner's ID Number and any joint owner's (owners') ID Number(s) if applicable. Please include a copy of the ID referenced on Page 1 in Section 3: Passport, Country's Driver's License, Country Identification Card, or other.
- 5) Be sure the required documentation is attached. All documentation must be legible and include your name, the account number, date of transaction, quantity, total transaction amount, and security name or CUSIP. Do not send originals of any supporting documentation; **send copies**.
- 6) Be sure to keep copies of the completed Claim Form and supporting documentation for your records; we may not be able to supply them to you in the future.
- 7) You are responsible for notifying us of any changes in the information you file, including changes of address or other contact information. Please call us at (866) 344-7868 (Domestic) or (315) 671-5770 (International), or email us at [info@bpfairfund.com](mailto:info@bpfairfund.com) with your new contact information. Please include the Claim ID on any correspondence.

## **A Note about Providing your Personal ID:**

We recognize the importance of keeping your personal information secure, and we do everything under our control to ensure this data is protected. We use the personal information that is collected only as necessary to administer your claim during the claim administration process. Your ID is required before we are able to issue payments to Eligible Claimants.